

# STATE OF COLORADO



## Engineering Contracts Unit

Marguerite Molinas, Lead Contracting Officer  
4201 East Arkansas Avenue Suite 200  
Denver, Colorado 80222

Interested Consultants,

**Please note that this solicitation is for two tiers (5M/1M), consultants must acknowledge what tier level they are proposing on the cover sheet of their proposal. Consultants are only able to propose on one tier.**

**This solicitation is also posted concurrently with the NPS Construction management Front Range Solicitation. These are separate solicitations, and firms that are interested in both are encouraged to propose on both solicitations.**

This package is for your use in preparing your Statement of Interest (SOI)/Work Plan (WP) for the professional services selection process for the Colorado Department of Transportation (CDOT). The consultant is encouraged to follow the outline and page distribution indicated in these instructions. The selection panel members will have limited time to review the submittals. If the panel has difficulty finding the desired information, they may consider the submittal as non-responsive and a lower score may result. Consultants must be pre-qualified one week prior to the SOI/WP submittal deadline.

Pages in excess of the page limits for each section will be removed from the submittal.

CDOT'S SELECTION PROCESS IS SUMMARIZED AS FOLLOWS:

### SOI/WP Pre-score Activity

The CDOT Contracting Officer reviews the SOI/WP for completeness and compliance with the newspaper advertisement and these instructions. If acceptable, the Contracting Officer transmits the SOI/WP package to each panel member. The package includes:

- ☐ SOI/WP Scoring Guidelines
- ☐ Draft Scope of Work
- ☐ Notice to Consultants
- ☐ Acceptance Checklist
- ☐ SOI/WP for Each Consultant

In addition to the package, CDOT's Contracting Officer E-mails every panel member their Salesforce Scoring Sheet for this SOI/WP.

### SOI/WP Scoring Activity

- ☐ Prior to the selection panel meeting the following occurs:
  - Every panel member scores every SOI/WP independently.
  - The Business Programs Office scores every SOI/WP for Underutilized Disadvantaged Business Enterprise (UDBE) participation.
  - The Business Programs Office scores every SOI/WP for Emerging Small Business (ESB) participation.
  - The Agreements Office Contracting Officer scores every SOI/WP for workload factor (new scoring criteria).

### Selection or Short-list Panel Meeting

A selection panel meeting is held to compile scores and discuss the panel member's evaluations of the consultant's SOI/WP based on the following criteria:

- ❑ Project Team – 40%
- ❑ Firm Capability - 30
- ❑ Approach – 20%
- ❑ DBE/ESB – 10%

The selection panel reaches a consensus ranking of the selected firm, the panel provides its recommendation to the Contracting Officer, who obtains the concurrence of the Contract Administrator, and then provides selection documentation to the Chief Engineer for approval. The Chief Engineer's approval is necessary before the Agreements Office can proceed with selection notification or the interview phase.

### Final Selection

In making the final selection recommendation to the Chief Engineer, the panel reaches consensus on the ranking of the selected firm. The evaluation panel will then choose from the most highly qualified firms which package to place a selected consultant. The panel provides its recommendation to the Contracting Officer, who obtains the concurrence of CDOT's Contract Administrator. Then the Contracting Officer provides selection documentation, including the board's ranking, to the Chief Engineer for approval. The Chief Engineer's approval is necessary before proceeding with selection notification.

### SOI/WP Documentation

In addition to these instructions, the following documents are needed to prepare an SOI/WP and can be found on the CDOT consultant management web-site:

<https://www.codot.gov/business/consultants/advertised-projects/2015>

### SOI/WP Notice to Consultants Cover Memo

- ❑ Key Event Schedule (KES)
- ❑ Invitation for Consultant Services ( Newspaper ad)
- ❑ Draft Scope of Work
  - This scope of work is subject to review by CDOT and may change.
  - Consultants should be aware that the final scope of work may involve input from the selected consultant.
- ❑ SOI/WP Preparation Instructions for consultants

### Additional Requirements

- All firms submitting a SOI/WP must be pre-qualified by the SOI/WP submittal date. Pre-qualification must be done annually.
- Professional liability insurance and other insurance are required for the length of the contract from selected consultant. Proof of insurance must be submitted with the final cost proposal.

Pre-qualification and insurance questions should be directed to the Agreements Office, at 303-757-9006.

Consultant selection process questions should be directed to CDOT Engineering Contracts Unit Contracting Officer Marguerite (Maggie) Molinas at 303-757-9398.

The Agreements Office recommends that you prepare your SOI/WP in a minimum of 10 or a maximum of 12 point font. If a smaller font is used, it may reflect negatively on your scores if panel members can not read your submission. A page for the submittal is defined as a standard 8-1/2 x 11 inch sheet of paper printed on one side only. If you notice any conflicts between the instructions and the guidelines in the public advertisement (notice to consultants), information in the public advertisement takes precedence.

Please do **not** include company prime or sub-consultant firm resumes, or staff resumes at this time.

Sincerely,

Marguerite Molinas, Lead Contracting Officer  
Engineering Contracts Unit

**2016 Construction Management NPS Front Range  
Notice for Professional Consultants**

**CONTRACT REQUIREMENT FOR INTERESTED CONSULTANTS**

Effective January 1, 2015 all consultant firms submitting SOI and Work Plan as “Prime” and / or “Sub-Consultant”, for Advertisements and Solicitations, must have an “*Audited Indirect Cost (overhead) Rate*” that complies with Federal Acquisition Regulations (FAR) and AASHTO Audit Guide and a CDOT Master Pricing Agreement in order to compete and be eligible for contract award. Interested firms that do not have a current audited indirect cost rate, and bill on a “Fee Schedule” may request a waiver of this policy from the CDOT Chief Engineer, who will consider factors relevant to the audited rate. Waivers are granted at the Chief Engineer’s sole discretion.

**Professional Consultant Services**

**Statement of Interest (SOI)/Work Plan (WP)**

**Preparation Instructions for Consultants**



Colorado Department of Transportation  
Engineering Contracts Unit  
4201 East Arkansas Avenue Suite 200  
Denver, Colorado 80222-3400

Telephone Number: (303) 757-9398  
Fax Number: (303) 757-9867

# I. CONSULTANT SOI/WP INSTRUCTIONS

**In CDOT's continued environmental efforts in "Going Green", Consulting firms are encouraged to submit their SOI on 2 sided format, rather than one sided only. Each side of the document counts as 1 page towards the submittal limit**

## A.

1. Cover or Introductory Letter (1 page limit – 8 ½ x 11 paper)
2. Statement of Interest Section ( 4 Page Limit – 8 ½ x 11 paper)
3. DBE Section (No page limit – 8 ½ x 11 paper)

## B. Cover or Introductory Letter

1. Address the cover or introductory letter to the Agreements Contracting Officer:  
Marguerite Molinas, Lead Contracting Officer  
CDOT Engineering Contracts unit  
4201 E. Arkansas Avenue Suite 200  
Denver, Colorado 80222-3400
2. Include the following elements of information in the letter as a minimum and highlight these items in bold letters.
  - a) What tier your firm is interested in (CDOT is offering contracts valued at 5 Million (M) and 1 Million (M) each firm must identify what tier they are interested in). Each firm will only be able to compete in one tier level.
  - b) Statement that the firm is pre-qualified with CDOT and the firm's pre-qualification expiration date.
  - c) Certification that the information and data submitted is true and complete to the best knowledge of the individual signing the letter.
  - d) Name, telephone number, e-mail address and fax number of the individual to contact regarding their SOI/WP submittal.
  - e) CDOT **requires** an original signature signed in ink, by an authorized principal, partner, or officer of the firm.

## C. Statement of Interest Section (SOI) – (4 page limit)

1. The following outline is to aid you in preparing your SOI. Your responses to the elements of this outline should demonstrate the knowledge and expertise your firm brings to the project.
  - a) Project Team
    - (1) Identify your:
      - (a) Proposed Principal (s)
      - (b) Project Manager
      - (c) Key Staff
    - (2) Present a brief discussion regarding how the team's qualifications and experience related to the package's your identify interest too

(3) Include the following:

- (a) Principal's level of involvement in the project (s)
- (b) Qualifications and relevant individual experience of prime and sub-consultant team
- (c) Unique knowledge of team members related to the package
- (d) Commitment of time and availability of key staff members
- (e) Length of time with the firm for each key team member
- (f) Experience on similar projects as a team

b) Firm Capability

- (1) Address the firm's size and the disciplines of technical staff.
- (2) Include the firm's relevant experience and accomplishments as a Prime Consultant that were are not listed in the project team section.
- (3) Outline computer software availability and its compatibility with CDOT software.
  - (a) All consultants are **required** to use the following Bentley Suite software packages, which are currently used by CDOT:
    - (i) INROADS for project design
    - (ii) Microstation for project drafting
- (4) Indicate the Consultant's availability to staff the package concurrent with existing and projected work loads.
- (5) Consider including the following:
  - (a) Graphs depicting firms capacity to do the project
  - (b) Information on the sub-consultant's role
  - (c) The sub-consultants function and integration into the team
  - (d) Match of personnel to the existing and future work load

c) Approach

- (1) Address the team's approach to CM

## D. DBE Section – No page Limit

a) Attach the following in the order shown:

- (1) Letters of acceptance from UDBE firms regarding their availability to be a sub-consultant.
- (2) Either CDOT Form 1330 (Non-Project Specific Consultant Contracts) or CDOT Form 1331 (Project Specific Consultant Contracts)
- (3) Copies of their certificates from the Colorado Department of Transportation's Certification Office or the City of Denver's Certification Office.
- (4) Letters of acceptance from ESB firms regarding their availability to be a sub-consultant.
- (5) Copies of their ESB approval letters from CDOT

b) Underutilized Disadvantaged Business Enterprise (UDBE) Participation

(1) DBE Section the following:

- (a) Appropriate CDOT DBE commitment form:
  - (i) Certificate of Proposed DBE participation for Project Specific (PS) Consultant Contracts (Form 1331)
  - (ii) DBE Bid Conditions Assurance for Non-Project Specific (NPS) Consultant Contracts (Form 1330)
- (b) A letter of acceptance from each DBE listed on the Form 1330 or 1331.
- (c) A copy of each DBE's certificate or a letter of certification from the Colorado Department of Transportation's Certification Office or from the City of Denver's Certification Office.
- (d) Please attach letters and certificates in the DBE section so they will not count against your page limits. Also, if the certificate or letter of certification is not included, their UDBE participation may **not** be included in your score.

(2) List:

- (a) Whether your firm is a certified UDBE.
- (b) Which items of work is committed to each UDBE.
- (c) (deleted)
- (d) Your firm's total percentage commitment to DBEs on this project.
- (e) Which, if any, of the UDBE Firms have received fewer than 5 CDOT contracts and subcontracts in the past 3 years?

(3) Consider:

- (a) The document entitled "UDBE Definitions and Requirements" describes the UDBE commitment and other requirements associated with the consultant's UDBE participation.
- (b) Consultants are expected to commit "meaningful work" to the UDBE sub-consultants.

(4) For your information, DBE factors are scored separately by CDOT's Business Programs Office.

c) Emerging Small Business (ESB) Usage

- (1) Include "letters of acceptance" from the ESB firms and a copy of their notice of eligibility from CDOT in the DBE section, so that they will not count against your page limits. If their notice of eligibility is **not** included, their ESB participation may **not** be included in your score.

(2) State:

- (a) Whether your firm is an eligible ESB firm.
- (b) Which items of work your firm is committing to each ESB.
- (c) Which, if any, of the ESB firms have never had CDOT contracts.

(3) Consider:

- (a) Utilization of an ESB firm for at least a part of the work.
- (b) Utilization of an ESB firm who has never had a CDOT contract.
- (c) The prime consultant is expected to commit “meaningful work” to their ESB sub-consultants.

(4) For your information, ESB factors will be scored separately by CDOT’s Business Programs Office.



## II. CONSULTANT SELECTION PROTEST RULES

### A. Protests will be handled as follows:

1. Any actual or prospective consultant who is aggrieved in connection with a solicitation or award of a contract may protest to the Chief Engineer. The protest shall be submitted in writing within seven working days after the aggrieved person knows or should have known of the facts giving rise to the protest.
2. The Chief Engineer or designee shall have the authority to settle and resolve a protest of a consultant, actual or prospective, concerning the solicitation or award of a contract. A written decision regarding the protest shall be rendered within seven working days after the protest is filed. The decision shall be based on and limited to a review of only those issues raised by the aggrieved consultant, and will set forth each factor taken into account, in reaching the decision. The decision will constitute the final agency action of the Colorado Department of Transportation regarding the protest.
3. Entitlement to costs: When a protest is sustained by the Chief Engineer or designee, or upon administrative or judicial review, and the consultant should have been awarded the contract under the solicitation, but was not. The protestor will be entitled to reasonable costs incurred in connection with the solicitation, including SOIWP preparation costs. No other costs or fees will be permitted or awarded, and reasonable costs and fees will not include attorney's fees.